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APPLICATION NO.	F	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/582,355	06/21/2000		T.V. RAMAN	07844/304001	5101
21876	7590	01/28/2004		EXAMINER	
FISH & R			KINDRED, ALFORD W		
3300 DAIN RAUSCHER PLAZA MINNEAPOLIS, MN 55402				ART UNIT	PAPER NUMBER
•				2172	
				DATE MAILED: 01/28/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
1						
. Office Action Summary	09/582,355	RAMAN ET AL.				
Office Action Summary	Examiner	Art Unit				
The MAIL INO DATE of this account of the	Alford W. Kindred	2172				
The MAILING DATE of this communication appears on the c ver sheet with the corresp ndence address Peri df r Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status						
1) Responsive to communication(s) filed on 21.	<u>June 2000</u> .					
2a) This action is <b>FINAL</b> . 2b) ⊠ Thi	s action is non-final.	•				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disp sition of Claims						
4)  Claim(s) 38-92 is/are pending in the applicating 4a) Of the above claim(s) is/are withdrest 5)  Claim(s) is/are allowed.  6)  Claim(s) 38-92 is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/	awn from consideration.					
Application Papers						
<ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>						
Priority under 35 U.S.C. §§ 119 and 120						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> <li>13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet.</li> <li>37 CFR 1.78.</li> <li>a) The translation of the foreign language provisional application has been received.</li> <li>14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.</li> </ul>						
Attachment(s)	_					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informal P	(PTO-413) Paper No(s) Patent Application (PTO-152)				

Application/Control Number: 09/582,355

Art Unit: 2172

#### **DETAILED ACTION**

1. This action is responsive to communications: Application filed on 06/21/00.

### Election/Restrictions

2. Applicant elects without traverse of Claims 38-92, in response to examiner's request for restriction, via applicant's attorney (Hans Troesch).

## Claim Rejections - 35 USC § 102

3. Claims 38-92 are rejected under 35 U.S.C. 102(e) as being anticipated by Raman, US# 6,249,794 B1.

The applied reference has a common Assignee and Inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

As per claim 38, Raman teaches "generating a description of an application that produced the document" (see col. 1, lines 36-50) "generating a description of a location from which the document can be obtained" (see col. 2, lines 26-56) "generating a description of an operation that can be performed on the document" (see col. 2, lines 40-58).

Application/Control Number: 09/582,355

Art Unit: 2172

As per claims 39-41, Raman teaches "a uniform resource locator" (see abstract).

As per claims 42 and 44, Raman teaches "a transformation of the document from a file stored in a first storage format to a file stored in a second storage format; and the operation produces a second document description file that describes the file stored in the second storage format" (see col. 2, lines 35-60).

As per claims 43 and 45, Raman teaches "wherein the second document description file describes the first document description file" (see col. 2, lines 40-60).

As per claim 46, Raman teaches "the information extracted from the document describes a range of pages of the document" (see col. 2, lines 52-67).

As per claim 47, Raman teaches "the document represents a multi-layered graphical object, and the information extracted from the document describes a subset of the layers of the multi-layered graphical object" (see col. 1, lines 55-67 and col. 2, lines 1-20).

As per claims 48-50, Raman teaches "generating application-specific data describing the document" (see col. 3, lines 30-67).

As per claim 51, Raman teaches "generating a field containing information describing the document" (see col. 4, lines 1-20 and 36-50).

As per claim 52, Raman teaches "wherein the field is an HTTP header" (see col. 5, lines 22-30).

As per claims 53-55, Raman teaches "the field describes a date on which the document was produced" (see col. 11, lines 25-45).

Application/Control Number: 09/582,355

Art Unit: 2172

As per claim 56, Raman teaches "the field describes content contained in the document" (see col. 7, lines 1-12).

As per claim 57, Raman teaches "the content of the first document description file is represented in XML syntax" (see col. 5, lines 51-60).

As per claims 58-60, Raman teaches "receiving the request the first document description file from a client . . ." (see col. 1, lines 36-67) "generating a second document description file describing the information derived from the first document" (see col. 1, lines 53-67 and col. 2, lines 1-25).

As per claims 61, 65, and 69 Raman teaches "wherein the information . . . the first document comprises a second document" (see col. 1, lines 36-67).

As per claims 62, 64, and 68 Raman teaches "wherein the second document description file" (see col. 1, lines 36-65).

As per claims 63 and 67, Raman teaches "a uniform resource locator" (see col. 8, lines 44-56).

As per claim 66, Raman teaches "a pointer to the first document" (see col. 8, lines 35-67).

As per claims 70-73, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 38, 42, 44, and 47 and are similarly rejected.

As per claims 74-78, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 38, 58-61 and 65 and are similarly rejected.

Application/Control Number: 09/582,355 Page 5

Art Unit: 2172

As per claim 79-84, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 74-78 and are similarly rejected including the following:

--Raman teaches "the first and second computer-readable media are the same . . . " (see col. 15, lines 5-25).

As per claims 85-88 and 89-92, these claims are rejected on grounds corresponding to the arguments given above for rejected claims 58-64 and are similarly rejected.

Art Unit: 2172

### **Conclusion**

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 703-305-3802. The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Klm Vu can be reached on (703) 305-4393. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-

9000.

Alford W. Kindred Patent Examiner

Tech Ctr. 2100